



**University of
Zurich^{UZH}**

**Zurich Open Repository and
Archive**

University of Zurich
University Library
Strickhofstrasse 39
CH-8057 Zurich
www.zora.uzh.ch

Year: 2020

Biomechanical in vitro examination of a standardized low-volume tubular femoroplasty

Horbach, Andreas J ; Staat, Manfred ; Pérez-Viana, Daniel ; Simmen, Hans-Peter ; Neuhaus, Valentin ; Pape, Hans-Christoph ; Prescher, Andreas ; Ciritsis, Bernhard

Abstract: BACKGROUND Osteoporosis is associated with the risk of fractures near the hip. Age and comorbidities increase the perioperative risk. Due to the ageing population, fracture of the proximal femur also proves to be a socio-economic problem. Preventive surgical measures have hardly been used so far. METHODS 10 pairs of human femora from fresh cadavers were divided into control and low-volume femoroplasty groups and subjected to a Hayes fall-loading fracture test. The results of the respective localization and classification of the fracture site, the Singh index determined by computed tomography (CT) examination and the parameters in terms of fracture force, work to fracture and stiffness were evaluated statistically and with the finite element method. In addition, a finite element parametric study with different position angles and variants of the tubular geometry of the femoroplasty was performed. FINDINGS Compared to the control group, the work to fracture could be increased by 33.2%. The fracture force increased by 19.9%. The used technique and instrumentation proved to be standardized and reproducible with an average poly(methyl methacrylate) volume of 10.5 ml. The parametric study showed the best results for the selected angle and geometry. INTERPRETATION The cadaver studies demonstrated the biomechanical efficacy of the low-volume tubular femoroplasty. The numerical calculations confirmed the optimal choice of positioning as well as the inner and outer diameter of the tube in this setting. The standardized minimally invasive technique with the instruments developed for it could be used in further comparative studies to confirm the measured biomechanical results.

DOI: <https://doi.org/10.1016/j.clinbiomech.2020.105104>

Posted at the Zurich Open Repository and Archive, University of Zurich

ZORA URL: <https://doi.org/10.5167/uzh-188778>

Journal Article

Accepted Version

Originally published at:

Horbach, Andreas J; Staat, Manfred; Pérez-Viana, Daniel; Simmen, Hans-Peter; Neuhaus, Valentin; Pape, Hans-Christoph; Prescher, Andreas; Ciritsis, Bernhard (2020). Biomechanical in vitro examination of a standardized low-volume tubular femoroplasty. *Clinical Biomechanics*, 80:105104.

DOI: <https://doi.org/10.1016/j.clinbiomech.2020.105104>

1 Biomechanical in vitro examination of a standardized
2 low-volume tubular femoroplasty

3 Andreas J. Horbach^a, Manfred Staat^{a,1,*}, Daniel Pérez-Viana^a, Hans-Peter
4 Simmen^b, Valentin Neuhaus^b, Hans-Christoph Pape^b, Andreas Prescher^c,
5 Bernhard Ciritsis^d

6 ^a*FH Aachen University of Applied Sciences, Institute of Bioengineering, Biomechanics*
7 *Lab., Heinrich-Mußmann-Straße 1, 52428 Jülich, Germany*

8 ^b*Universitätsspital Zürich, Trauma Unit, Rämistrasse 100, 8091 Zürich/ Switzerland*

9 ^c*Institute of Anatomy and Cell Biology, Rheinisch-Westfälische Technische Hochschule*
10 *Aachen University, Wendlingweg 2, 52074 Aachen/ Germany*

11 ^d*Ente Ospedaliero Cantonale Ospedale di Bellinzona e Valli, Trauma Unit, Via Ospedale*
12 *12, 6500 Bellinzona, Switzerland*

13 **Abstract**

14 *Background*

15 Osteoporosis is associated with the risk of fractures near the hip. Age and
16 comorbidities increase the perioperative risk. Due to the ageing population,
17 fracture of the proximal femur also proves to be a socio-economic problem.
18 Preventive surgical measures have hardly been used so far.

19 *Methods*

20 10 pairs of human femora from fresh cadavers were divided into control
21 and low-volume femoroplasty groups and subjected to a Hayes fall-loading
22 fracture test. The results of the respective localization and classification of
23 the fracture site, the Singh index determined by computed tomography (CT)
24 examination and the parameters in terms of fracture force, work to fracture
25 and stiffness were evaluated statistically and with the finite element method.
26 In addition, a finite element parametric study with different position angles
27 and variants of the tubular geometry of the femoroplasty was performed.

28 *Findings*

29 Compared to the control group, the work to fracture could be increased
30 by 33.2%. The fracture force increased by 19.9%. The used technique and

*Corresponding Author

instrumentation proved to be standardized and reproducible with an average poly(methyl methacrylate) volume of 10.5 ml. The parametric study showed the best results for the selected angle and geometry.

Interpretation

The cadaver studies demonstrated the biomechanical efficacy of the low-volume tubular femoroplasty. The numerical calculations confirmed the optimal choice of positioning as well as the inner and outer diameter of the tube in this setting. The standardized minimally invasive technique with the instruments developed for it could be used in further comparative studies to confirm the measured biomechanical results.

Key words: Femoroplasty, Biomechanical Test, Prevention, Proximal Femur Fracture, Osteoporosis

Words in Abstract: 249; Words in Text: 3600

1. Introduction

Osteoporosis, which affects over 75 million people, is a widespread disease that primarily affects elderly people (WHO (2003)). In Europe and the USA alone, more than 2.3 million osteoporotic fractures occur every year, with hip fractures being of particular importance from an accident surgery point of view. It is estimated that the number of these fractures will rise to 3 million in 2025 (WHO (2003)). Depending on the report, the 1-year mortality rate is between 14% and 58% (Braithwaite et al. (2003), Mariconda et al. (2015)) with estimated acute health care costs of over USD 86,900 per patient (Frick et al. (2010)). An additional consequence is admission to long-term care facilities. (Cooper (1997)) The risk of further fractures in the proximal femur also increases by a factor of 2.5 (Colón-Emeric et al. (2003)). Femoroplasty could prove to be a surgical contribution to fracture prevention. It has been defined in the past as augmentation of the medullary canal in the femoral neck using poly(methyl methacrylate) (PMMA) (Beckmann et al. (2011), Heini et al. (2004), Sutter et al. (2010a)). This led to an improvement of the biomechanical properties, which resulted in an increase of the fracture strength in vitro. (Heini et al. (2004)) Furthermore, it has already been shown in vitro that osteosynthesis procedures can be successfully performed on fractures of the proximal femur despite femoroplasty (Beckmann et al. (2007)).

59 Limiting factors of previous techniques included excessive volumes of the
 60 introduced PMMA with the theoretical risk of osteonecrosis by high temper-
 61 atures during in vivo polymerisation, increased stiffness and uncertainty of
 62 the optimal positioning and geometry (Beckmann et al. (2007), Merz et al.
 63 (2015), Heini et al. (2004)).
 64 To avoid these problems, low-volume femoroplasty in tubular form was devel-
 65 oped using specific surgical instruments (Ciritsis (2017)). Regarding existing
 66 work with individualized procedures (Basafa et al. (2013), Basafa and Ar-
 67 mand (2014), Basafa et al. (2015)), no standardized procedure to simplify
 68 femoroplasty was described in literature right now. With the new devel-
 69 oped specific surgical instruments, no individualization of the femoroplasty
 70 was required: it is a procedure in which the positioning and insertion of the
 71 PMMA are standardized and easily reproducible. Additionally, the monomer
 72 of PMMA is known to be cardio toxic (Aebli et al. (2003), Peebles et al.
 73 (1972)). To improve flow properties during injection and to reduce toxic side
 74 effects in vivo, a high viscosity PMMA and a low injection pressure were
 75 used. In order to reduce the amount of PMMA injected and at the same
 76 time increase the fracture strength but not the stiffness, a hollow body with
 77 defined internal and external dimensions was injected into the bone instead
 78 of a solid body.
 79 Other studies (Beckmann et al. (2007), Hofmann-Fliri et al. (2012), Springo-
 80 rum et al. (2014), Sutter et al. (2010b)) show that the geometry and posi-
 81 tioning of PMMA in the femoral neck play an important biomechanical role.
 82 For this reason, a parametric study for different positioning angles and inner
 83 diameters of the tubular PMMA insertion was performed on the basis of ex-
 84 isting finite element models to assess the selected geometry and orientation
 85 given by the developed surgical tools.
 86 The aim of this work was to test a standardized procedure with focus on
 87 geometry, positioning and orientation of the preventive treatment for os-
 88 teopathic femora with biomechanical experiments and simulations in vitro.
 89 Efficiency of the surgical low-volume femoroplasty technique combined with
 90 an increase of the fracture force without changing the stiffness were the main
 91 criteria to be achieved in this project. It should be clarified which and how
 92 certain biomechanical parameters change and to what extent the finite ele-
 93 ment method can model the experiment for further numerical investigations
 94 and variations of femoroplasty.

95

2. Methods

2.1. Experimental Procedure

10 pairs of human femora were obtained from fresh cadavers of deceased persons who had donated their bodies for research purposes (4 male and 6 female, mean weight 73.4 kg, mean height 171.6 cm, mean age 76.1 years). Between the individual work steps, the cold chain was maintained at -20°C . The temperature of the cadavers was kept at the same level. Before the fracture test, a CT scan and a two-dimensional AP-overview of the femur region with a voltage of 120 kV and a current of 38 mA of each bone pair was taken to determine the Singh index of every femur (Somatom Force, Siemens Healthcare GmbH, Erlangen, Germany). The Singh index, defined in (Singh et al. (1970)), is used as a general indication of osteoporosis in the proximal femur by visually assessing the trabecular pattern as seen on an anteroposterior x-ray image. The grading is based on the disappearance of the five normal trabecular groups and was evaluated independently by two trained physicians. The different grades of the index are shown and explained in Figure 1. The Singh index was chosen because it refers directly to the femoral neck and head to be examined. The DEXA scan (dual-energy X-ray absorption measurement) could not be used to determine bone density because the soft tissue envelope of the femora was missing and could not be reliably replaced by a water bath.

Furthermore one of the CTs was used to generate a model for the finite element analysis (FEA) (Figure 3). CT scans revealed no pathological abnormalities other than minor osteoarthritic changes. In order to ensure comparability between the individual bones of the respective pairs, the caput-collum-diaphyseal angle (CCDA) and head diameter in the CT were determined and checked. The body donors were selected so that there were no metastatic pre-existing conditions and they had reached at least 65 years of age.

For the further procedure, the preparations were thawed at room temperature, PMMA (Stryker Simplex HV, aap Biomaterials GmbH, Dieburg, Germany) was introduced in the way described in Ciritsis (2017) using custom-made surgical precision instruments (Safrima AG, Worben, Switzerland) as a standardized tube (inner diameter: 4 mm, outer diameter: 12 mm, length: from the edge of the lateral corticalis of the femoral neck to 10 mm in front of the corticalis at the cortex of the femoral head; "single central") in CCDA-position of the femoral neck and measured the volume used in ml, (Figure 2).

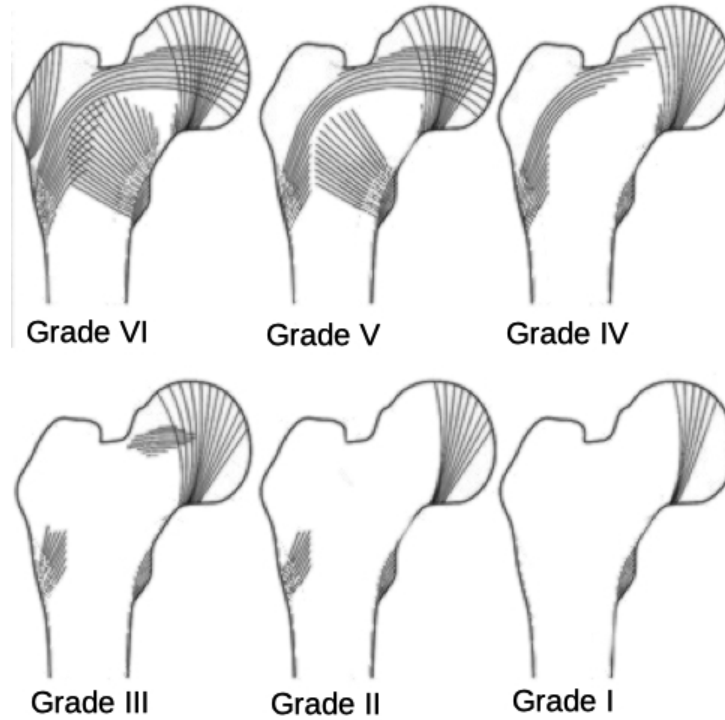


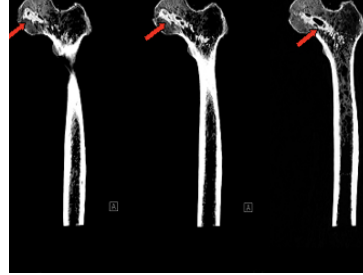
Figure 1: Grades of the Singh index. Grade 6: The five trabecular groups are visible. Grade 5: The principal tensile and principal compressive (medial) group are reduced, and Ward's triangle appears prominent. Grade 4: The principal tensile group is greatly reduced but still connects the lateral cortex to the femoral neck. Grade 3: The principal tensile group is interrupted; this grade indicates definite osteoporosis. Grade 2: The only group present is principal compressive trabeculae. Grade 1: The principal compressive group appears greatly reduced; this grade indicates severe osteoporosis. (Buchholz et al. (2006))

133 To minimize the influence of biological variance on the statistics, the proce-
 134 dure was tested on ten human bone pairs. Femoroplasty was performed on
 135 one bone and the contralateral femur served as a control.

136 The femora were fixed and loaded analogously to published fracture tests of
 137 osteoporotic femora and aligned according to the Hayes fall-loading configu-
 138 ration (Lotz et al. (1995), Lotz and Hayes (1990), Beckmann et al. (2011)).
 139 The design is based on the model initially developed by Courtney et al.
 140 (1995) and later refined or modified by Lochmüller et al. (2002), Manske
 141 et al. (2006), Nishiyama et al. (2013), in which the femur is loaded as in
 142 a three-point bending test, Figure 5. To avoid damages of the trochanter



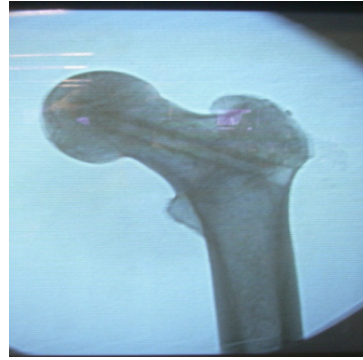
(a) Surgical Tools for PMMA implan-
tation



(b) Check of the PMMA tube after
implantation via CT-images



(c) Preparation step



(d) X-Ray image of the femur

Figure 2: Figure 2a: Overview of the developed tools for placement of the PMMA tube in the femoral neck. Figure 2b: Arrows show the holed bone cement tube with small irregularities on its surface, corresponding to the surrounding cancellous bone. Figure 2c: Example of preparation step: The bone cement cylinder was injected and cannulated, the tools are still in place while the bone cement is drying. Figure 2d: PMMA material on the X-ray control after the visible tubular injection.

major and the thin cortical shell of the femur head by direct point loads, the contact area was enlarged by a cushioning half tennis ball as shown in Figure 5c or in Manske et al. (2006).

Each femur was loaded in the test stand by the Z010 material testing machine (Zwick Roell GmbH, Ulm, Germany) with 50 N preload and then with a speed of 10 mm/min until fracture. In addition, the mechanical tests were recorded for optical 3D strain measurement with two digital cameras for digital image correlation (DIC). The femurs were prepared with a speckle pattern which is visible in Figure 5c and is used to analyse the surface motion by

152 DIC with the software ISTR4D (Limes Messtechnik und Software GmbH,
153 Krefeld or Dantec Dynamics GmbH, Ulm, Germany) and served to compare
154 the FEA with the experimentally measured deformations in order to confirm
155 the model generated for the FEA.

156 *2.2. Localisation and classification of the fracture area*

157 After each fracture test, the location and type of fracture were determined
158 and photographically documented with a digital camera (NX 2000, Samsung,
159 South Korea) for later evaluation.

160 *2.3. Parameter*

161 Three parameters were determined from the load-displacement curves
162 recorded by the tensile testing machine: work to fracture (Nm), fracture
163 force (N) and stiffness (N/mm) (Figure 4). The fracture force is defined as
164 the first drop of the load-displacement curve after the linear section (point
165 C) at which the macroscopic crack is initiated. This is the point where the
166 bone is defined as fractured, although the crack is not fully through the bone
167 in this test as the little force increase after the drop shows. The work to
168 fracture is the area under the load-displacement curve up to the point of
169 fracture. The elastic property stiffness was calculated as the slope of the
170 load-displacement curve in the linear section of the elastic range (from point
171 A to point B). Above point B, crackling micro cracks were heard and this
172 damage became noticeable in a slight decrease of stiffness. This nonlinear
173 part of the load-displacement curve cannot be compared to the FEA because
174 the evolution of damage has not been simulated. The fracture at point C
175 occurred with a sharply audible crack.

176 *2.4. Statistical Evaluation*

177 To check the normal distribution, the Shapiro-Wilk normality test was
178 performed. Based on its results, the one-sided Wilcoxon signed-rank test
179 was used for paired samples. It was tested whether there was a significant
180 difference in work to fracture, fracture force and stiffness between the two
181 groups. In addition, the Spearman's rank correlation test was performed
182 to check the monotonous correlation between the three main variables and
183 the Singh index. A Spearman's coefficient of $\rho > 0.8$ indicates a strong
184 positive correlation and $\rho > 0.5$ a moderate correlation and the correlation
185 is considered significant if the p -value is $p < 0.05$. All calculations and
186 corresponding diagrams were performed and generated with the R software
187 environment (www.R-Project.org).

2.5. Finite Element Method (FEM)

The femur pair with specimen 3 showed the largest surface with valid DIC measurement. Therefore, the tomogram of this femur pair was selected as representative geometry for FEA. From the obtained CT data a volumetric mesh of the femur was generated with the software Amira v5.5.0 (FEI Visualization Sciences Group, Mérignac Cedex, France). The mesh was segmented to assign a material value to the individual sections depending on their CT intensity for the FEA as given in the table 1 and grouped as shown in Figure 3e and Figure 3f. In supplement to a simple analysis restricted to the bone geometry in Figure 5 and 6, an extended analysis was performed, which included an elastic foundation of the femur head on a cushioning tennis ball.

Different variations of the volume and orientation angle of the tubular PMMA femoroplasty were checked with regard to the stress distribution in the femoral neck by FEM analyses. The Salome v6.6.0 software (Open Cascade SAS, Guyancourt, France) was used as preprocessor and postprocessor and *Code_Aster* vSTA11.4 (Électricité de France, Paris Saclay, France) as FEM solver. A static FEA of the models was performed with the simplifying assumption of isotropic, linear elastic behaviour in all bone regions. Figure 5 shows the boundary conditions with a specific description of the FEM model, which was used analogously to already published studies of femoroplasty and the Hayes fall-loading configuration (Varga et al. (2017), Beckmann et al. (2011)).

Table 1: Overview of the used material parameters for the FEM and the grey scale threshold of the intensity diagrams from Figure 3e and Figure 3f. Region 3 defines the parameters for PMMA (light grey) and the others define the parameters for bone, with region 4 (white area), region 2 (grey area) and region 1 (dark grey area). All regions are shown in Figure 3c and Figure 3d.

Volume region	Young's modulus (MPa)	Poisson Ratio	Inferior Threshold (IU)	Superior Threshold (IU)
Exterior	-	-	min. value	-200
Region 1	300	0.4	-200	200
Region 2	900	0.4	200	800
Region 3 (PMMA)	3300	0.4	800	1350
Region 4 (Corticalis)	15000	0.4	1350	max. value

211 2.6. Parametric study of the PMMA tube

212 For an optimized choice of geometries of the PMMA tube, two further
213 possible insertion angles of **CCD**+5° ("single central cranial") and **CCD**−5°
214 ("single central caudal") were selected for the parametric study. In addition,
215 the inner diameter of the tube was varied to the sizes of 2 mm, 4 mm, 6 mm,
216 8 mm and 10 mm in the calculations. The boundary conditions remained
217 unchanged for all variations. Based on the results of the FEM, the von Mises
218 stresses (MPa) are presented both for the simulation of the experiments and
219 also for the parametric study.

220 3. Results

221 Of the ten pairs tested, sixteen femora could be evaluated. Two speci-
222 mens and thus two pairs were excluded due to failure of the fixation in the
223 fracture test. The average used PMMA volume of all augmented femora was
224 10.5ml. Table 2 documents an overview of the individual test specimens with
225 corresponding Singh index, fracture type, sex and age. Trochanteric fractures
226 occurred more frequently in the augmented group than in the control group.
227 Fractures in the neck area were less frequent in the augmented group. In one
228 of the prepared femora a subtrochanteric fracture was observed.

229 3.1. Evaluation of calculated parameters

230 Table 3, Table 4 and Table 5 provide an overview of the calculated pa-
231 rameters of fracture force, work to fracture and stiffness. The percentage
232 change between control group and augmented femora was determined from
233 the respective mean values. The stiffness and the work to fracture are influ-
234 enced by the elastic foundation by a half tennis ball and can only be used
235 as relative values to compare the tested femora. A FEM analysis of control
236 sample 1 of the femur showed a calculated stiffness of 1119.3 N/mm, which
237 is almost reduced to the experimental value of 418.4 N/mm when the tennis
238 ball is added to the calculation model. The fracture force, on the other hand,
239 is an absolute value that is not altered by the cushioning bearing.
240 The statistical evaluation according to the Wilcoxon signed-rank test showed
241 that a significant increase in work to fracture of 33% was achieved by the
242 femoroplasty (14.0 Nm vs. 10.5 Nm, $p = 0.021$). The fracture force also in-
243 creased significantly by 19.9% in the augmented femora (2998 N vs. 2465 N,
244 $p = 0.054$). The stiffness with a 3.6% increase did not increase significantly
245 (492.8 N/mm vs. 448.6 N/mm, $p = 0.473$). (Figure 6)

Table 2: Complete overview of the evaluated samples.

Sample	Side	Augm.	Sex	Age	Fracture Line	Singh-Index
1	left	yes	male	83	trochant.	I
	right	no			neck	
2	left	yes	male	68	neck	IV
	right	no			neck	
3	left	yes	male	73	trochant.	V
	right	no			neck	
4	left	yes	male	65	trochant.	II
	right	no			trochant.	
5	left	yes	male	66	subtrochant.	III
	right	no			trochant.	
6	left	yes	female	88	trochant.	II
	right	no			trochant.	
7	left	yes	female	83	trochant.	I
	right	no			trochant.	
8	left	yes	female	83	trochant.	II
	right	no			trochant.	

Table 3: Fracture force in (N)

Sample	Augm./ (N)	Control/ (N)	Difference/ (N)	Difference/ %
1	2878	2111.8	766.2	36.3
2	4071.4	4697.2	-625.8	-13.3
3	3324.2	3664.3	-340.1	-9.3
4	2775.9	2387.5	388.4	16.3
5	6571.7	4190.6	2381.1	56.8
6	2861	2346.4	514.6	21.9
7	2147	1210.8	936.2	77.3
8	3117.4	2543	574.4	22.6
mean	3468.3	2894.0	574.4	19.9

3.2. Correlation with the Singh Index

The Spearman's rank correlation showed a significant correlation of the Singh index with fracture force ($\rho_{(8)} = 0.82$, $p = 0.006$) and work to fracture ($\rho_{(8)} = 0.88$, $p = 0.002$) and a moderate correlation with stiffness ($\rho_{(8)} = 0.70$,

Table 4: Work to fracture in (Nm)

Sample	Augm./ (Nm)	Control/ (Nm)	Difference/ (Nm)	Difference/ %
1	15	8.4	6.6	78.6
2	23.3	24.8	-1.5	-6
3	14.1	10.8	3.3	30.6
4	13.5	11.9	1.6	13.4
5	34.2	21.2	13	61.3
6	8.8	10.2	-1.4	-13.7
7	13.9	3.7	10.2	275.7
8	11.2	9.6	1.6	16.7
mean	16.8	12.6	4.2	33.2

Table 5: Stiffness in (N/mm)

Sample	Augm./ (N/mm)	Control/ (N/mm)	Difference/ (N/mm)	Difference/ %
1	469.3	418.4	50.9	12.2
2	443.1	671	-227.9	-34.0
3	516.2	835.6	-319.4	-38.2
4	410.1	314.7	95.4	30.3
5	887.4	590.4	297	50.3
6	573.1	301.9	271.2	89.8
7	157.4	300.2	-142.8	-47.6
8	595.5	478.7	116.8	24.4
mean	506.5	488.9	17.7	3.6

250 $p = 0.027$) in the control group. The augmented group showed no correlation
 251 between the Singh index and work to fracture and stiffness. There was a
 252 moderate correlation with the fracture force ($\rho_{(8)} = 0.65$, $p = 0.04$).

253 3.3. Results of the Finite Element Analysis

254 The comparison of the experimental strains from the DIC measurements
 255 with the measured strains showed that the strain ranges were qualitatively
 256 comparable (Figure 7). Differences result from the incomplete knowledge
 257 of the elastic material data. The FEA showed a reduction of stress in the
 258 medial neck of the augmented femur, which was not found in the control

259 femur. This is only possible if stress is redistributed so that the load in the
260 augmented femur is transferred through other areas. And indeed, the stresses
261 in the cancellous bone increased significantly near the implant (Figure 8),
262 indicating that the implant contributes to load transfer. This explains the
263 increased carrying capacity of femoroplasty.

264 The stiffness values of the simplified geometry were 1120 N/mm compared
265 to 469.3 N/mm (extended group) and 418.4 N/mm (control group) from the
266 experiment. The model geometry extended by the tennis ball with rubber
267 material parameters could reach values between 317 N/mm and 598 N/mm.

268 3.3.1. Results of the parametric study

269 Figure 9 shows an almost linear increase in the maximum stress with the
270 inner diameter both in the PMMA and in the infero-medial femoral neck
271 region. If the internal diameter is increased by 1 mm, the maximum stress
272 in the femur increases only slightly by 1 MPa, while in PMMA it increases
273 by 3.5 MPa. With larger internal diameters stress in the PMMA increases
274 and fatigue may become a long-term issue (Krause et al. (1988)). There are
275 only small differences between the two insertion angles of $CCDA - 5^\circ$ and
276 $CCDA + 5^\circ$.

277 Results of the FEM of the parametric study are represented exemplarily in
278 Figure 10 for the diameters 4 mm and 10 mm in a sectional view in the sagittal
279 plane. Figures 10a, 10b and 10c show the stress distribution for different
280 positioning angles with a tube diameter of 4 mm. The stresses in the area
281 of the hollow cylinder increase with increasing insertion angle, whereas the
282 stress distribution homogenizes over the entire length of the tube. Figures
283 10d, 10e and 10f show the range of stress distribution for a tubular PMMA
284 injection with a diameter of 10 mm for the three positioning angles. It is
285 evident that with increasing angle the extension and the magnitude of the
286 maximum stress increases.

287 4. Discussion

288 The study is experimentally limited by the number of femora tested and
289 the focus on the experimental setup on the Hayes fall-loading configuration.
290 This could be partly compensated by the parametric study of the FEM. The
291 variation of the geometries also proved that the chosen angulation, inner
292 and outer diameter of the PMMA tubes, which were performed by the used
293 standardized surgical tools, yielded favourable biomechanical results. The

294 parametric study shows that the reduction of PMMA volume by increasing
 295 of the inner diameter is restricted by the fatigue strength of the PMMA but
 296 not by the stress in the femur. The biomechanical efficacy of the low-volume
 297 tubular femoroplasty could be statistically supported by the data obtained
 298 from the test series. Work to fracture and fracture force showed a signifi-
 299 cant increase of 33% and 19.9%, respectively, compared to the control group.
 300 Similar results were obtained in other studies, but with PMMA volumes four
 301 to five times larger than in the present study (Heini et al. (2004), van der
 302 Steenhoven et al. (2009), van der Steenhoven et al. (2011)) or with indi-
 303 vidualized femoroplasty (Basafa et al. (2013), Basafa and Armand (2014),
 304 Basafa et al. (2015)). A comparison study of high and low volume PMMA
 305 using an individualized technique has not yet been published before. A para-
 306 metric study has therefore been carried out, but it does not yet include any
 307 comparisons between the new surgical device technique presented here and
 308 already existing techniques.

309 Remarkably, a nearly unchanged stiffness of the augmented femora was ob-
 310 served, which can be interpreted positively for the physiologically given de-
 311 formation properties of the femoral neck. Almost unchanged stiffness is a
 312 global indicator of reduced stress shielding and bone remodelling by the
 313 femoroplasty. The femoral neck is a dominantly cancellous structure with
 314 high flexibility. It is known from kyphoplasty that excessive stiffening of the
 315 augmented vertebral bodies can lead to secondary fractures of the adjacent
 316 osteoporotic segments (Meyer et al. (2019), Leschinger et al. (2018), Ko et al.
 317 (2019)). Varga et al. (Varga et al. (2017)) also feared fractures in the adjoin-
 318 ing pelvic bone structures, whereby they did not distinguish between force
 319 and stiffness. The shape of the tube chosen in this study limited the stiff-
 320 ness under axial load of the femoral shaft while maintaining the stiffness and
 321 force under bending load. Thus, the required reinforcement of the femoral
 322 shaft was achieved without any relevant increase in stiffness. This could be
 323 achieved by the selected geometry with an inner diameter of 4 mm, an outer
 324 diameter of 12 mm and the alignment angle in the sense of the CCDA of the
 325 PMMA tube, which was confirmed by the FEM parametric analysis in this
 326 study (Figure 10). With respect to Figure 10c and Figure 10f, it should be
 327 noted that a variation of $\text{CCDA} + 5^\circ$ can be considered as a surgical failure.
 328 In this study, the Singh index was the only parameter used to determine the
 329 grade of osteoporosis of the proximal femur. In contrast to the control group,
 330 the small volume tubular femoroplasty led to independence of the Singh in-
 331 dex and the associated grade of osteoporosis of the augmented femoral neck

332 region.

333 In addition, the results showed that the developed instruments provided a
334 simple and reproducible technique for formation and positioning a geometri-
335 cally defined PMMA tubular insertion in the femoral neck. It is also worth
336 mentioning that the standardized PMMA tube ends at the lateral edge of
337 the corticalis of the femoral neck and fills it. This could have prevented a
338 weakening of the corticalis due to cavity formation. The used PMMA vol-
339 umes with an average of 10.5 ml remained very low compared to the already
340 described values from the literature (Heini et al. (2004), Sutter et al. (2010a))
341 and make osteonecrosis due to heat generation unlikely. In addition, a highly
342 viscous bone cement was used to prevent the toxic monomer of PMMA from
343 spreading beyond the canal drilled for tubular augmentation.

344 Our experiments also provide guidance as to when femoroplasty may not be
345 appropriate. Sample 2 showed a deterioration of the values for all investi-
346 gated parameters of strength and sample 3 showed a deterioration of the
347 values for two parameters (fracture force and stiffness). This may be due to
348 poor anchoring of the implant in the cancellous structure of the bone, which
349 can only transmit a lower stress. It is noteworthy that both samples showed
350 the highest Singh index. This was also shown in the results of the control
351 group for the same samples that were among those with the highest fracture
352 force and stiffness (Table 3 and Table 5). For the fracture force and work to
353 fracture, which determine the bearing capacity, it can be observed that the
354 femora weakened by osteoporosis benefits from a very significant strengthen-
355 ing in all fracture tests, while femora without signs of osteoporosis undergo
356 only a tolerable loss of bearing capacity in individual cases.

357 In summary, the biomechanical properties of osteoporotic proximal femur
358 could be significantly improved by the low-volume tubular femoroplasty in
359 single central position and in CCDA position. Whether these positive ex-
360 perimental results also lead to a reduction of the fracture risk in vivo as a
361 surgical preventive measure cannot be proven at present.

362

363 5. Conclusions

364 In this study, a small volume tubular femoroplasty was investigated as
365 an alternative to existing experimental surgical methods. The techniques
366 standardized with the used instruments proved to be reproducible and led
367 to a significant improvement of fracture properties in augmented femora in

368 vitro. The question arises whether the risk of fracture could be reduced by
369 strengthening the trabecular structure with small volume tubular femoro-
370 plasty as preventive surgical cement augmentation. Future investigations,
371 such as comparative studies between high and low volume techniques, must
372 show whether the described preventive measures can lead to better clinical
373 results.

374 **Conflict of interest disclosure**

375 The authors are not compensated and there are no other institutional
376 subsidies, corporate affiliations, or funding sources supporting this work un-
377 less clearly documented and disclosed.

378 There were no conflicts of interest.

379

380 **Acknowledgement:**

381 Some of the FEM analyses were prepared by Alexander Abel and Lars
382 Hirt as part of their bachelor thesis under the direction of Prof. Dr.-Ing.
383 Manfred Staat.

384 **References**

385 Aebli, N., Krebs, J., Schwenke, D., Davis, G., Theis, J.C., 2003. Pres-
386 surization of vertebral bodies during vertebroplasty causes cardiovascular
387 complications - an experimental study in sheep. *Spine* 28, 1513–1519; dis-
388 cussion 1519–1520. doi:10.1097/01.BRS.0000076830.77134.CB.

389 Basafa, E., Armand, M., 2014. Subject-specific planning of femoroplasty: A
390 combined evolutionary optimization and particle diffusion model approach.
391 *Journal of Biomechanics* 47, 2237–43. doi:10.1016/j.jbiomech.2014.05.002.

392 Basafa, E., Armiger, R.S., Kutzer, M.D., Belkoff, S.M., Mears,
393 S.C., Armand, M., 2013. Patient-specific finite element model-
394 ing for femoral bone augmentation. *Med Eng Phys* 35, 860–865.
395 doi:10.1016/j.medengphy.2013.01.003.

396 Basafa, E., Murphy, R.J., Otake, Y., Kutzer, M.D., Belkoff, S.M., Mears,
397 S.C., Armand, M., 2015. Subject-specific planning of femoroplasty: An

398 experimental verification study. *Journal of Biomechanics* 48, 59–64.
399 doi:<https://doi.org/10.1016/j.jbiomech.2014.11.002>.

400 Beckmann, J., Ferguson, S.J., Gebauer, M., Luering, C., Gasser, B., Heini,
401 P., 2007. Femoroplasty - augmentation of the proximal femur with a
402 composite bone cement – feasibility, biomechanical properties and os-
403 teosynthesis potential. *Medical Engineering & Physics* 29, 755–764.
404 doi:[10.1016/j.medengphy.2006.08.006](https://doi.org/10.1016/j.medengphy.2006.08.006).

405 Beckmann, J., Springorum, R., Vettorazzi, E., Bachmeier, S., Lüring, C.,
406 Tingart, M., Püschel, K., Stark, O., Grifka, J., Gehrke, T., Amling, M.,
407 Gebauer, M., 2011. Fracture prevention by femoroplasty-cement augmen-
408 tation of the proximal femur. *Journal of Orthopaedic Research* 29, 1753–8.
409 doi:[10.1002/jor.21410](https://doi.org/10.1002/jor.21410).

410 Braithwaite, R.S., Col, N.F., Wong, J.B., 2003. Estimating hip fracture
411 morbidity, mortality and costs. *Journal of the American Geriatrics Society*
412 51, 364–370. doi:[10.1046/j.1532-5415.2003.51110.x](https://doi.org/10.1046/j.1532-5415.2003.51110.x).

413 Bucholz, R.W., Court-Brown, C.M., Heckman, J.D., Tornetta III, P., 2006.
414 Rockwood and Green’s Fractures in Adults. 92. 7th edition ed., Lippincott
415 Williams & Wilkins, Philadelphia.

416 Ciritsis, B., 2017. Surgical Tool System. Patent EP2806807B1. Unitectra
417 Zürich. patents.google.com/patent/EP2806807A1/en.

418 Colón-Emeric, C., Kuchibhatla, M., Pieper, C., Hawkes, W., Fredman, L.,
419 Magaziner, J., Zimmerman, S., Lyles, K.W., 2003. The contribution of hip
420 fracture to risk of subsequent fracture: Data from two longitudinal studies.
421 *Osteoporosis International* 14, 879–88,. doi:[10.1007/s00198-003-1460-x](https://doi.org/10.1007/s00198-003-1460-x).

422 Cooper, C., 1997. The crippling consequences of fractures and their im-
423 pact on quality of life. *The American Journal of Medicine* 103, S12–S19.
424 doi:[10.1016/S0002-9343\(97\)90022-X](https://doi.org/10.1016/S0002-9343(97)90022-X).

425 Courtney, A.C., Wachtel, E.F., Myers, E.R., Hayes, W.C., 1995. Age-related
426 reductions in the strength of the femur tested in a fall-loading configura-
427 tion. *The Journal of Bone and Joint Surgery. American Volume* 77, 387–95.
428 doi:[10.2106/00004623-199503000-00008](https://doi.org/10.2106/00004623-199503000-00008).

- 429 Frick, K.D., Kung, J.Y., Parrish, J.M., Narrett, M.J., 2010. Evaluating the
430 cost-effectiveness of fall prevention programs that reduce fall-related hip
431 fractures in older adults. *Journal of the American Geriatrics Society* 58,
432 136–141. doi:10.1111/j.1532-5415.2009.02575.x.
- 433 Heini, P., Franz, T., Fankhauser, C., Gasser, B., Ganz, R., 2004.
434 Femoroplasty-augmentation of mechanical properties in the osteoporotic
435 proximal femur: A biomechanical investigation of PMMA reinforcement
436 in cadaver bones. *Clinical Biomechanics* (Bristol, Avon) 19, 506–12.
437 doi:10.1016/j.clinbiomech.2004.01.014.
- 438 Hofmann-Fliri, L., Sermon, A., Wähnert, D., Schmoelz, W., Blauth, M.,
439 Windolf, M., 2012. Limited v-shaped cement augmentation of the prox-
440 imal femur to prevent secondary hip fractures. *Journal of Biomaterials*
441 *Applications* 28. doi:10.1177/0885328212443274.
- 442 Ko, B.S., Cho, K.J., Park, J.W., 2019. Early adjacent vertebral fractures
443 after balloon kyphoplasty for osteoporotic vertebral compression fractures.
444 *Asian Spine Journal* 13, 210–215. doi:10.31616/asj.2018.0224.
- 445 Krause, W., Mathis, R.S., Grimes, L.W., 1988. Fatigue properties of acrylic
446 bone cement:S-N,P-N, andP-S-N data. *Journal of Biomedical Materials*
447 *Research* 22, 221–244. doi:10.1002/jbm.820221404.
- 448 Leschinger, T., Engel, K., Brüggemann, G.P., Dederer, V., Friedrich Neiss,
449 W., Scheyerer, M., Peter Müller, L., Wegmann, K., 2018. Glass-
450 polyalkenoate cement: An alternative material for kyphoplasty in
451 osteoporotic vertebral compression fractures - an ex vivo study.
452 *Journal of the Mechanical Behavior of Biomedical Materials* 83.
453 doi:10.1016/j.jmbbm.2018.03.008.
- 454 Lochmüller, E.M., Groll, O., Kuhn, V., Eckstein, F., 2002. Mechanical
455 strength of the proximal femur as predicted from geometric and densit-
456 ometric bone properties at the lower limb versus the distal radius. *Bone*
457 30, 207–16. doi:10.1016/s8756-3282(01)00621-4.
- 458 Lotz, J., Hayes, W., 1990. The use of quantitative computed tomography to
459 estimate risk of fracture of the hip from falls. *Journal of Bone and Joint*
460 *Surgery* 72, 689–700. doi:10.2106/00004623-199072050-00008.

- 461 Lotz, J.C., Cheal, E.J., Hayes, W.C., 1995. Stress distributions within the
462 proximal femur during gait and falls: implications for osteoporotic fracture.
463 *Osteoporosis International* 5, 252–261. doi:10.1007/bf01774015.
- 464 Manske, S.L., Liu-Ambrose, T., de Bakker, P.M., Liu, D., Kontulainen, S.,
465 Guy, P., Oxland, T.R., McKay, H.A., 2006. Femoral neck cortical geometry
466 measured with magnetic resonance imaging is associated with proximal fe-
467 mur strength. *Osteoporosis International* 17, 1539–45. doi:10.1007/s00198-
468 006-0162-6.
- 469 Mariconda, M., Costa, G.G., Cerbasi, S., Recano, P., Aitanti, E., Gamba-
470 corta, M., Misasi, M., 2015. The determinants of mortality and morbidity
471 during the year following fracture of the hip. *Bone & Joint Journal* 97-B,
472 383–390. doi:10.1302/0301-620X.97B3.34504.
- 473 Merz, M.K., Christoforetti, J.J., Domb, B.G., 2015. Femoral neck frac-
474 ture after arthroscopic femoroplasty of the hip. *Orthopedics* 38, e696–700.
475 doi:10.3928/01477447-20150804-57.
- 476 Meyer, C., Gaalen, K., Leschinger, T., Scheyerer, M., Neiss, W., Staat, M.,
477 Mueller, L., Wegmann, K., 2019. Kyphoplasty of osteoporotic fractured
478 vertebrae: A finite element analysis about two types of cement. *BioMed*
479 *Research International* 2019, 1–7. doi:10.1155/2019/9232813.
- 480 Nishiyama, K.K., Gilchrist, S., Guy, P., Crompton, P., Boyd, S.K., 2013. Prox-
481 imal femur bone strength estimated by a computationally fast finite ele-
482 ment analysis in a sideways fall configuration. *Journal of Biomechanics* 46,
483 1231–6. doi:10.1016/j.jbiomech.2013.02.025.
- 484 Peebles, D.J., Ellis, R.H., Stride, S.D., Simpson, B.R., 1972. Cardiovascular
485 effects of methylmethacrylate cement. *British Medical Journal* 1, 349–351.
486 doi:10.1136/bmj.1.5796.349.
- 487 Singh, M., Nagrath, A.R., Maini, P.S., 1970. Changes in trabecular pattern
488 of the upper end of the femur as an index of osteoporosis. *The Journal of*
489 *Bone & Joint Surgery* 52, 457–467.
- 490 Springorum, H.R., Gebauer, M., Mehrl, A., Stark, O., Craiovan, B., Püschel,
491 K., Amling, M., Grifka, J., Beckmann, J., 2014. Fracture prevention by
492 prophylactic femoroplasty of the proximal femur—metallic compared with

493 cemented augmentation. *Journal of Orthopaedic Trauma* 28, 403–409.
494 doi:10.1097/bot.0000000000000035.

495 van der Steenhoven, T., Schaasberg, W., de Vries, A., Valstar, E., Nelis-
496 sen, R., 2009. Augmentation with silicone stabilizes proximal femur frac-
497 tures: An in vitro biomechanical study. *Clinical Biomechanics* 24, 286–290.
498 doi:doi.org/10.1016/j.clinbiomech.2008.11.009.

499 van der Steenhoven, T., Schaasberg, W., de Vries, A., Valstar, E.,
500 Nelissen, R., 2011. Elastomer femoroplasty prevents hip fracture dis-
501 placement: In vitro biomechanical study comparing two minimal in-
502 vasive femoroplasty techniques. *Clinical Biomechanics* 26, 464–469.
503 doi:https://doi.org/10.1016/j.clinbiomech.2010.12.009.

504 Sutter, E.G., Mears, S.C., Belkoff, S.M., 2010a. A biomechanical evaluation
505 of femoroplasty under simulated fall conditions. *Journal of Orthopaedic*
506 *Trauma* 24, 95–99. doi:10.1097/bot.0b013e3181b5c0c6.

507 Sutter, E.G., Wall, S.J., Mears, S.C., Belkoff, S.M., 2010b. The ef-
508 fect of cement placement on augmentation of the osteoporotic proxi-
509 mal femur. *Geriatric Orthopaedic Surgery & Rehabilitation* 1, 22–26.
510 doi:10.1177/2151458510378406.

511 Varga, P., Inzana, J., Schwiedrzik, J., Zysset, P., Gueorguiev, B., Blauth,
512 M., Windolf, M., 2017. New approaches for cement-based prophylactic
513 augmentation of the osteoporotic proximal femur provide enhanced rein-
514 forcement as predicted by non-linear finite element simulations. *Clinical*
515 *Biomechanics* 44, 7–13. doi:10.1016/j.clinbiomech.2017.03.001.

516 WHO, 2003. Diet, nutrition and the prevention of chronic diseases, Geneva.
517 Technical Report 916. WHO.

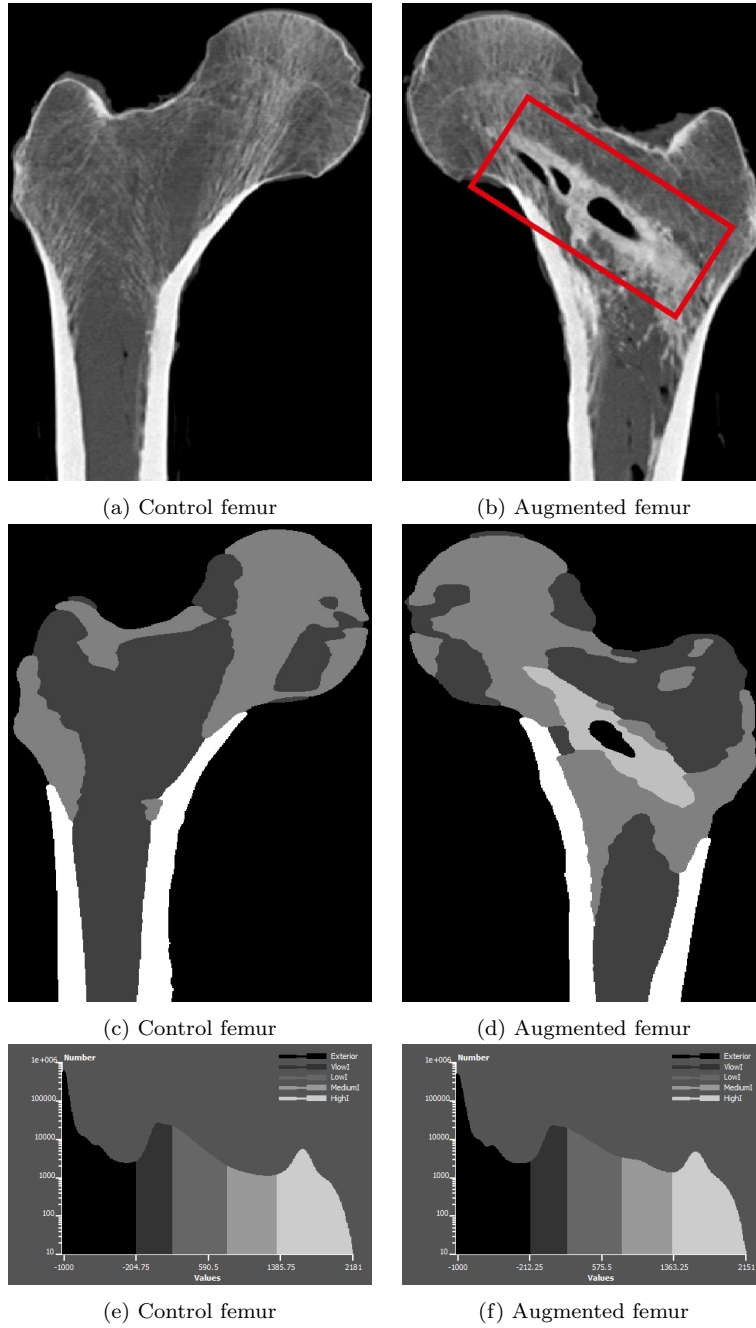


Figure 3: Anterior view of coronal radiographic slices (3a and 3b) and corresponding segmentation label (3c and 3d) of the selected pair of femurs. The cement implant is visible in the augmented femora (right). 3e and 3f show an intensity histograms of the image volumes of the selected pair of femora.

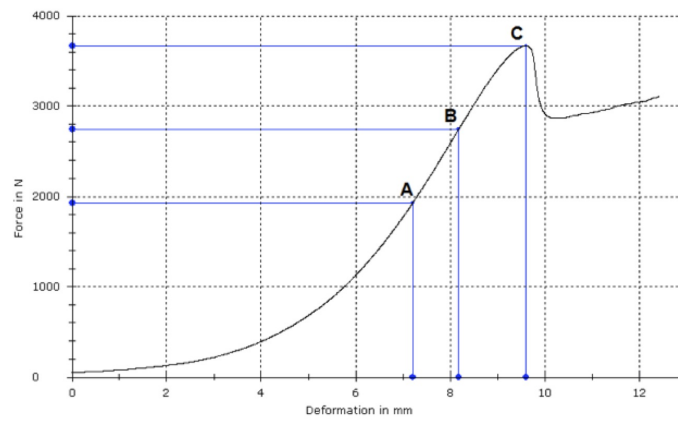


Figure 4: Example illustration of a load-displacement curve with the characteristic points A, B and C. The illustration represents the experimental results for specimen 3-control group.

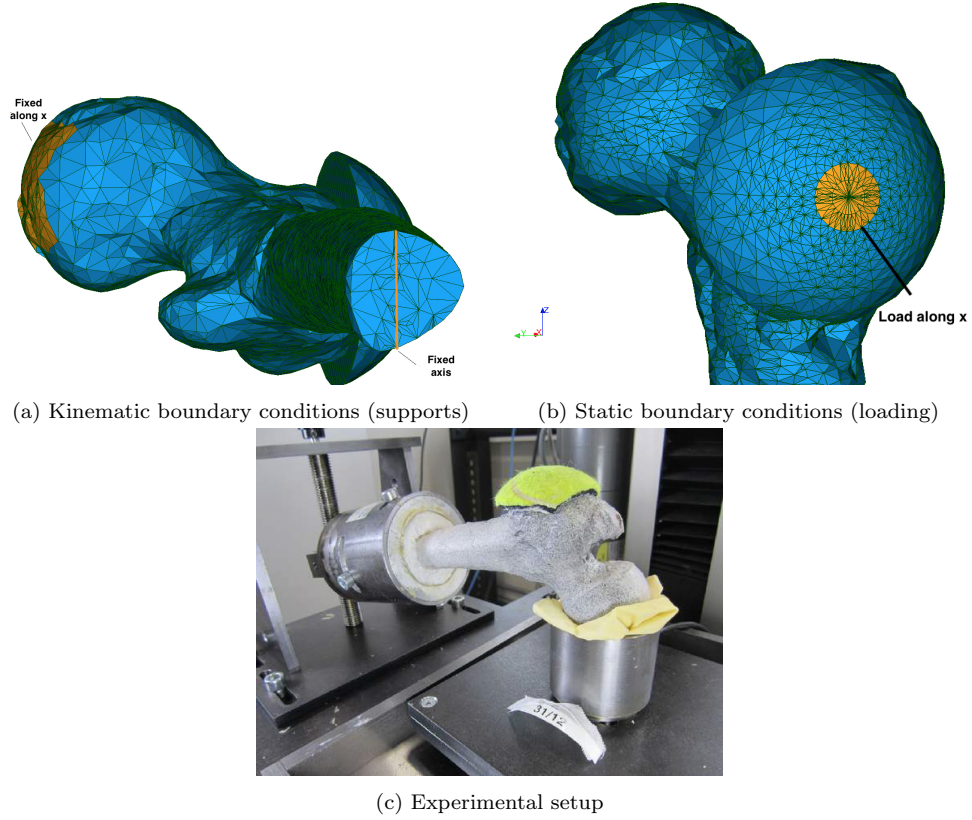


Figure 5: Figure 5a: Kinematic boundary conditions for the FEA: 1. Cortex of the femur head fixed in x-direction. 2. The cross-section in the proximal third of the femoral diaphysis is fixed such that it can only rotate rigidly about a fixed axis. Figure 5b: The loading in x-direction acts on the trochanter major massif and is distributed by cushioning. Figure 5c: Biomechanical testing device with a human femur fixed for a three-point bending test with cushioning tennis balls. The speckle pattern on the femur is visible.

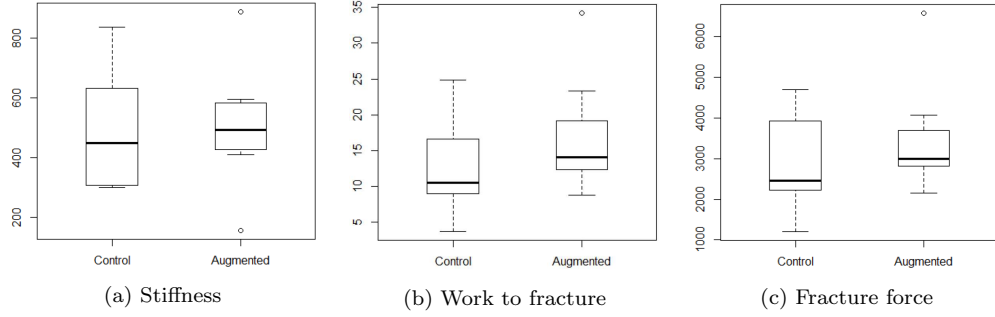
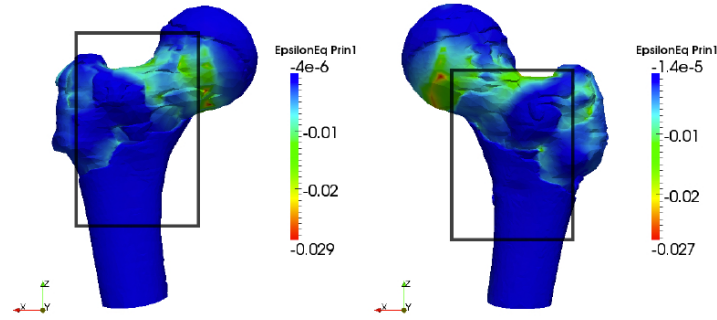
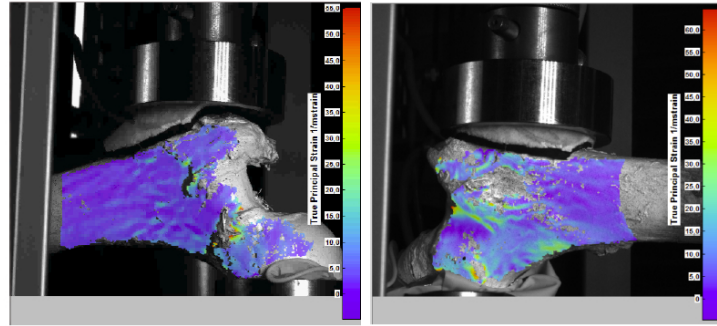


Figure 6: Box-and-whisker diagram of (a) stiffness, (b) work to fracture, and (c) fracture force; separated into control group and n augmented femora.



(a) Numerical results of the FEA



(b) Experimental results of the DIC

Figure 7: Comparison of the major principal strain results of the simulation (7a) and the same results recorded by the ODS (in μstrain) at the moment prior to fracture (7b). The model of the control is on the left and the one of the femoroplasty augmented model is on the right.

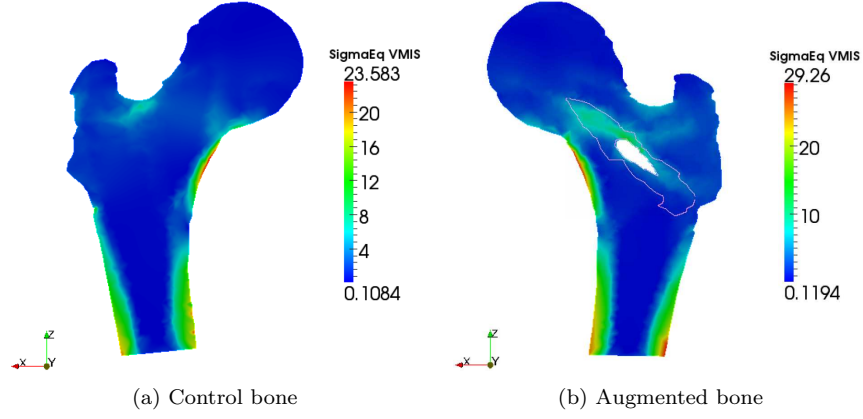
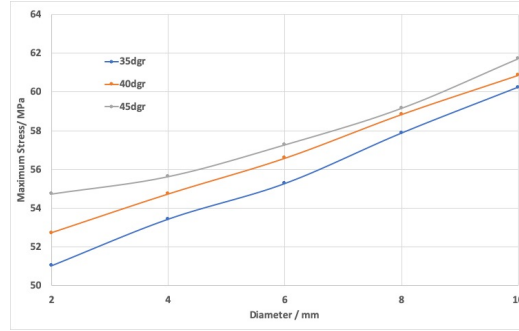
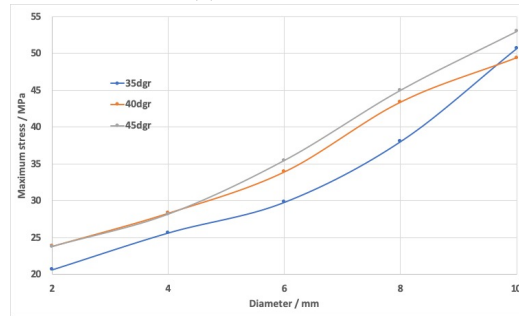


Figure 8: Comparison of the von Mises stresses (MPa) in the sagittal plane of the bone from FEA; left control femur and right augmented femur (sample 3), the marked area shows the PMMA tube.



(a) In the femur



(b) In the tubular PMMA femoroplasty

Figure 9: Maximum value of von Mises stress concentration found in parametric study

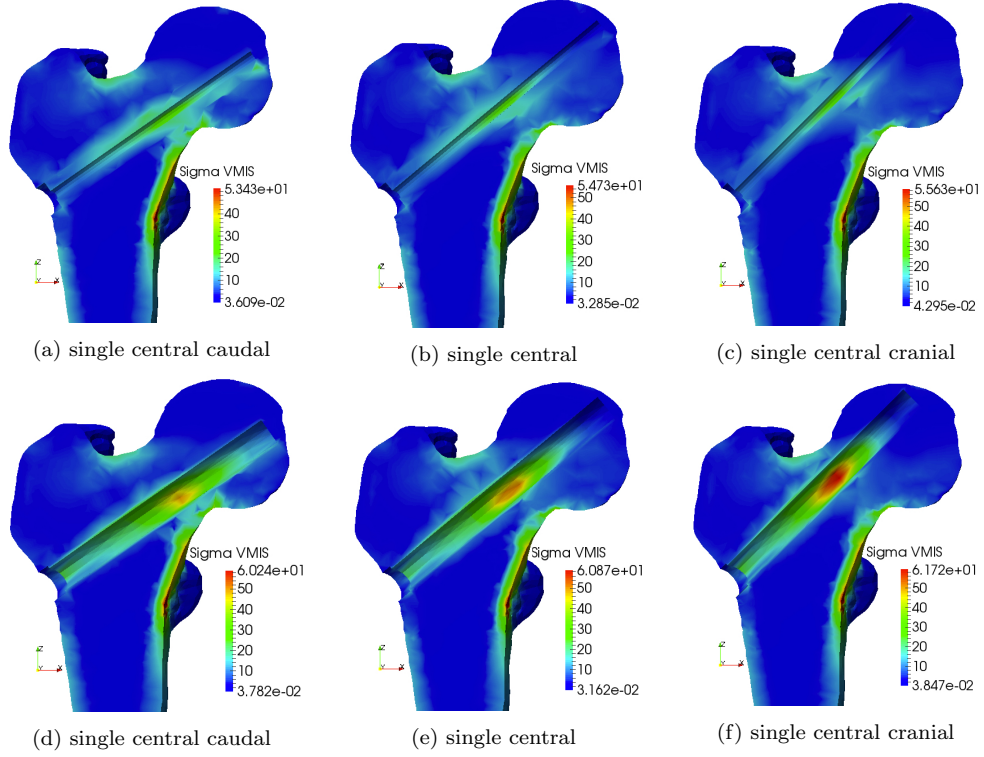


Figure 10: Von Mises stresses as results of the parametric study. Figures 10a, 10b and 10c show the stress distribution for an inner diameter of 4 mm and Figures 10d, 10e and 10f for an inner diameter of 10 mm, respectively. All tubes had an outer diameter of 12 mm. Figures 10a and 10d show the results for the angle $CCDA - 5^\circ$, Figures 10b and 10e for the angle $CCDA$, and Figures 10c and 10f for the angle $CCDA + 5^\circ$.